SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Title of Security (Instr. 3) 2. Transacti Date				2A. Deemed Execution Date.	3. Transaction	4. Securities Acquired (A Disposed Of (D) (Instr. 3		5. Amount of Securities	6. Ownership Form: Direct	7. Nature
		Table I - Nor	n-Derivative S	ecurities Acq	uired, Disp	oosed of, or Bene	ficially	Owned		
(City)	(State)	(Zip)	_					Person		
(Street) MELVILLE	NY	11747					X	Form filed by On Form filed by Mo	1 0	
(Street)			4. If A	mendment, Date of	f Original Filed	l (Month/Day/Year)	6. Indiv Line)	/idual or Joint/Grou	p Filing (Check	Applicable
175 BROADH	IOLLOW RO	AD	04/20	J/2021						
(Last)	(First)	(Middle)		te of Earliest Transa	action (Month/	Day/Year)	1	Officer (give title below)	Other below	(specify)
1. Name and Address of Reporting Person [*] HERSCHER PENNY				uer Name and Tick RINT SYSTE	0			ationship of Reporting Person(s) to < all applicable) Director 10% (
i							1			

	(Month/Day/Year)	if any (Month/Day/Year)	Code (5)	(D) (1131	. 5, 4 anu		(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price		Price	Transaction(s) (Instr. 3 and 4)		(1130.4)	
Common Stock	04/20/2021		A		4,178 ⁽¹⁾	A	\$ <mark>0</mark>	19,172 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispo of (D (Insti	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date Amount of			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Grant of restricted stock units vesting 100% on April 11, 2022.

2. The number of shares underlying unvested RSUs that were previously reported on Form 4 has been adjusted in connection with the closing of the spin-off of Cognyte Software Ltd. by the Issuer on February 1, 2021 and included in the total in Column 5.

Remarks:

<u>/s/ Peter D. Fante as Attorney</u> <u>in Fact for Penelope Ann</u>

Herscher

04/22/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).